

# Beyond Checkpoint Inhibitors: The Next Generation of Immunotherapy in Oncology

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## Abstract

Immunotherapy has become a major focus of research in oncology, following the recent success of CTLA-4 and PD-1 inhibitors in melanoma, lung cancer, head and neck cancer, renal cell carcinoma, and Hodgkin lymphoma. While providing significant advances in treatment, PD-1 and CTLA-4 inhibitors are not always effective, leaving many patients in need of alternative therapies. A greater understanding of a tumor's interactions with its microenvironment and the immune system is guiding oncology research. More details have become known regarding the mechanisms by which a tumor alters antigen-presentation, inhibits detection, and inhibits activation of the host immune system to proliferate and survive. Knowledge of these interactions between the tumor and the immune system is creating many new therapeutic opportunities.

In this review we look at recent advances in mechanisms and clinical trials of therapeutics that utilize the immune system to treat cancer. Innovative agents are looking at activating the antitumor immune T cell response through agonistic targets such as CD137 and OX40, or by exposing immune cells to antigens through vaccines and oncolytic viruses. Drugs preventing immune suppression by targeting inhibitory receptors such as PD-1, CTLA-4, LAG-3, TIM-3, and BLTA as well as inhibiting myeloid derived suppressor cells, tumor associated macrophages and regulatory T cells are in development. Finally, adoptive T-cell therapy is showing potential to create a tumor-specific immune response ex-vivo.

Although there is great potential in immunotherapy to provide activated T-cell responses against tumors, developing clinically meaningful outcomes continues to be challenging. Combinations of immunotherapies are being researched that can use multiple mechanisms for a more effective antitumor response. As the complex interaction between a tumor and the immune system becomes better understood, more options for harvesting these interactions toward cancer treatment will likely become available.

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## Introduction

Over the past 5 years, immunotherapy has brought a revolution of changes in how numerous cancers are treated. Using the immune system to target tumors, largely by suppressing the programmed cell death protein 1 (PD-1) and cytotoxic T lymphocyte associated protein 4 (CTLA-4) pathways, has been effective for melanoma, non-small cell lung cancer, head and neck cancer, renal cell carcinoma, and Hodgkin's lymphoma.<sup>1-5</sup> Although PD-1 and CTLA-4 inhibitors represent significant advances in treatment and, in many cases, durable remissions, response rates have ranged between 10% and 61%, leaving many patients needing alternative therapy. Advances in the understanding of the interactions between tumors and the immune system are leading to even more novel cancer immunotherapeutics.

## Background

Progression from a contained neoplasm to a metastatic state requires successfully evading the immune system.<sup>6</sup> Tumors have complex mechanisms by which they alter antigen processing and presentation within their microenvironments, as well as the activation process of an immune response that shields the tumor from immune surveillance and suppresses surrounding leukocytes.

Leukocytes infiltrating the tumor microenvironment are suppressed in their immune function and used by the neoplasm to harness growth factors for proliferation, angiogenesis, and prolonged survival of the tumor cells. Tumor-infiltrating macrophages (TAMs), for example, are recruited to the tumor with chemokines, such as C-C motif ligand 2 (CCL2), then experience prolonged survival with cytokines, such as vascular endothelial growth factor (VEGF) and colony-stimulating factors within the tumor microenvironment.<sup>7</sup> TAMs then produce growth factors and interleukins leading to tumor proliferation, VEGF and fibroblast growth factor 2 leading to angiogenesis, and chemokines leading to adaptive immune suppression.<sup>7</sup>

Inhibiting an adaptive T-cell immune response against the tumor is also mediated by a complex mechanism. TAMs and myeloid-derived suppressor cells (MDSCs) in the tumor microenvironment produce suppressive indoleamine dioxygenase metabolites and tumor necrotic factors.<sup>8-10</sup> Chemokines, such as interleukin 10 (IL-10), transforming growth factor-beta (TGF-beta), and macrophage

colony-stimulating factor, in the tumor microenvironment inhibit dendritic cell maturation leading to impaired antigen presentation and T-cell anergy.<sup>6,7</sup> Regulatory T cells (Tregs), which suppress T-cell activation through TGF-beta and IL-10, are recruited to the tumor

microenvironment, partially by the chemokine CCL2 from TAMs.<sup>6</sup>

Finally, the overexpression of immune checkpoint inhibitors on various cells within the microenvironment comprises a multifactorial suppressive signal to prevent a strong T-cell-mediated

response. Some of these pathways, particularly CTLA-4 and PD-1, have already been used with success in the clinical setting. CTLA-4 is a cell membrane protein expressed on T cells, particularly Tregs, which binds to CD80 and CD86 on antigen-presenting cells (APCs) and effectively prevents the APCs from binding to CD28 on T cells and triggering an immune response as depicted in **Figure 1**. PD-1 is another surface protein on T cells that upon binding to its ligand, programmed cell death ligand (PD-L1), inhibits T-cell activation and promotes apoptosis in antigen-specific T cells.<sup>11</sup> Inhibitors against CTLA-4 and PD-1 have been very successful clinically, and have become the standard of care for several cancers. Other checkpoints—such as OX40, LAG-3, TIGIT, TIM-3, and BLTA—are being investigated as other potential immunotherapeutic targets (**Figure 2**).

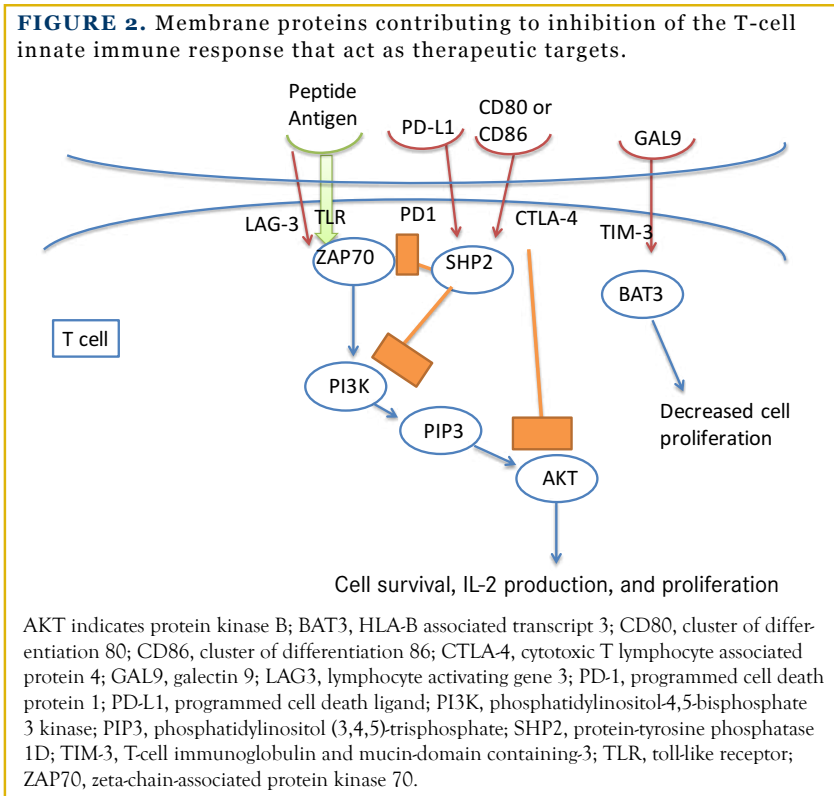
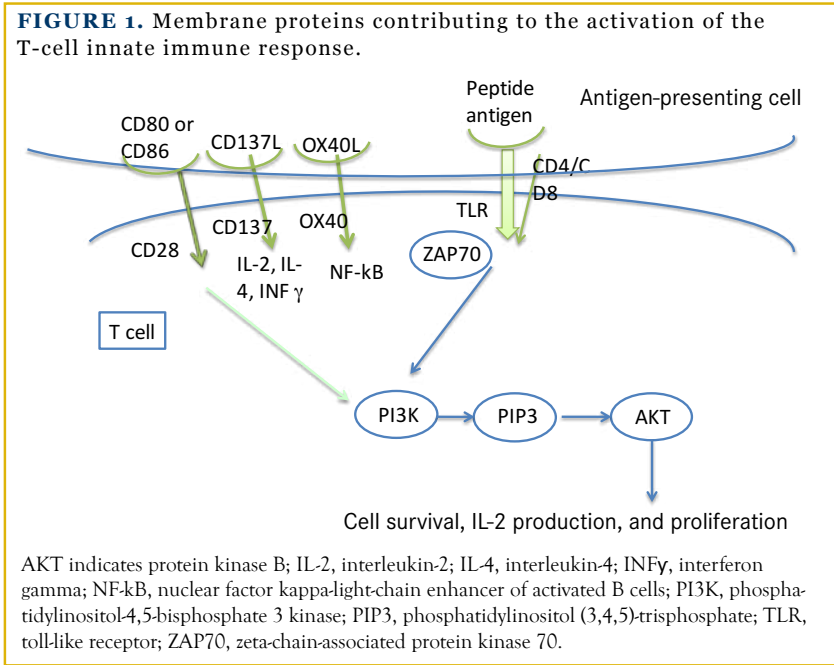
Understanding the tumor microenvironment and how it interacts with the immune system represent potential breakthroughs for therapeutic targets and implications. The next directions for immune therapy include mechanisms to increase innate activation of antitumor T cells, altering the tumor microenvironment that will confer immunogenicity to the tumor, engineering an antitumor immune response through adoptive T-cell therapy, or inhibiting tumor-mediated immune suppression. Multiple vaccine trials are underway to establish an innate immune response against tumors, and drugs inhibiting checkpoint pathways or abrogating signals of TAMs, MDSCs, and Tregs are in development toward these goals.

*Activating the Immune Response*

Increasing the tumor-specific immune response by using agonist antibodies targeting activating checkpoints, or by increasing the antigen presentation process to T cells through vaccines and oncolytic viruses is a potential direction of further therapeutic studies.

*Agonistic Checkpoints*

Activating checkpoints, such as CD137 and



OX40, within the tumor microenvironment could be targets of agonistic agents used to activate an immune response against the tumor. CD137, or 4-1BB, is a tumor necrotic factor receptor found primarily on activated T cells, natural killer (NK) cells, and myeloid cells. When CD137 binds its ligand, CD137L, which is found on APCs, such as dendritic cells and macrophages, the binding leads to a stimulatory signal to activate CD4+ and CD8+ T cells by increasing IL-2, IL-4, and interferon-gamma as outlined in **Figure 1**.<sup>12</sup> CD137 antibodies have been effective in murine models at increasing antitumor immune responses while decreasing the humoral immune response and antibody production that leads to autoimmune diseases.<sup>13,14</sup> A clinical phase I trial with urelumab, a humanized IgG CD137 antibody, has shown increased antitumor T-cell and NK cell responses in patients with head and neck cancer.<sup>15</sup> A phase I trial of urelumab plus nivolumab showed an overall response rate (ORR) of 50% among 46 patients with metastatic melanoma, with no increase in treatment-related adverse events compared with nivolumab alone; response rates were notably statistically similar between patients with PD-1-positive and PD-1-negative tumors, and 6 patients with lymphoma treated with urelumab alone had a partial response (PR) or a complete response (CR).<sup>16</sup>

OX40 is a costimulatory transmembrane glycoprotein receptor on activated T cells. Ligands on APCs binding to OX40 induce nuclear factor kappa enhancer of activated B cells, which leads to increased T-cell proliferation, cytotoxic activity, and survival.<sup>17</sup> While it is known that the binding of OX40 to its ligand has positive influences on cytotoxic T cells, OX40 activity on Tregs is not completely understood; along with mild stimulatory activity on Tregs, OX40 has been found to decrease Treg-mediated immune suppression although the mechanism is not well understood.<sup>18</sup> OX40 antibodies in murine models with an intact immune system have demonstrated tumor regression. A phase I clinical trial in human patients showed no clinical responses by RECIST criteria, although 18 of 30 patients showed stable disease or regression in at least 1 lesion. OX40 antibody treatment was well tolerated with grade 3 and 4 toxicities related solely to lymphopenia.<sup>17</sup> Currently, preclinical studies with combination therapy using OX40 with other targets are underway (**Table 1**).<sup>19,22</sup>

#### Vaccines

Another mechanism to activate an antitumor T-cell response is through vaccines, especially those that target dendritic cells. The dendritic cells within tumors have been thought to be deficient in presenting antigens to T cells because of incomplete maturity; investigations with dendritic cell vaccines combined with granulocyte-macrophage colony-stimulating factor (GM-CSF) or cyclic dinucleotides, which activate the stimulator of interferon gene complex (STING) pathway, trigger activation of dendritic cells.<sup>23,24</sup>

Whereas vaccines utilizing antigen-presenting dendritic cells to mount a T-cell response have been investigated for several decades in a wide variety of cancers, and have shown increased immuno-

genicity in murine models and cell cultures, their translation into a meaningful clinical response has been limited.<sup>25</sup> One exception is the success of sipuleucel-T, a vaccine made with autologous monocytes pulsed with prostatic acid phosphatase antigens and GM-CSF ex vivo, and then injected into patients with metastatic prostate cancer. Sipuleucel-T led to a 4.1-month survival advantage in a phase III clinical trial compared with placebo.<sup>26</sup>

Currently, with an increasing understanding of tumor immunology, a wide range of uses for dendritic cell vaccines are being investigated, with clinical trials being run for adjuvant melanoma,<sup>27</sup> neoadjuvant HER2+ ductal carcinoma in situ,<sup>28</sup> and glioblastomas,<sup>29</sup> among many others shown in **Table 1**.<sup>30-32</sup> Notably, however, vaccines have been a challenging therapy to pursue because they take significant time to manufacture; thus, their use is limited to patients who are not at risk of progressing while they await their treatment (the typical wait time is 4-6 weeks), such as patients in the adjuvant setting. The combination of vaccines with checkpoint inhibitors is also under investigation.<sup>32</sup>

#### Viral Therapy

Oncolytic viruses are another class of agents used to incite an immune reaction against a tumor. Initially derived from herpes simplex virus (HSV), these viruses are engineered to specifically target cancer cells for replication and toxicity. HSV induces a strong immune response, including activation of innate cells (such as T cells and NK cells), and a humoral response by triggering cytokine cascades, complement proteins, and immunoglobulin. Oncolytic viruses are designed to harness immune responses against the tumor cells when they are injected into the tumor microenvironment.<sup>33</sup>

Talimogene laherparepvec (T-VEC) is the first oncolytic virus to be approved by the FDA for intralesional clinical use. In a phase III trial comparing T-VEC with GM-CSF, 436 patients with melanoma having unresectable stage IIIB, IIIC, and stage IV with an isolated site of metastasis, were randomized to GM-CSF or T-VEC. Among 249 patients treated with T-VEC, the ORR was 40% (compared with 2.3% with GM-CSF) and 27 patients had a CR.<sup>34</sup> T-VEC was also studied with ipilimumab in a phase I trial of 19 patients with unresectable melanoma; the ORR was 50%, with an impressive 44% of patients having a CR and a median progression-free survival (PFS) of 18 months.<sup>35</sup> Using T-VEC in combination with other checkpoint inhibitors, such as PD-1, is being evaluated in trials, including a phase III trial in melanoma.<sup>34,36</sup>

Malignant gliomas have also been an active area of research for oncolytic viral therapy. In a phase I trial of the modified HSV1 virus, G207, followed by radiation, 6 of 9 patients with malignant gliomas had stable disease or a partial response (PR), although no survival benefit was noted.<sup>37</sup> A more successful recombinant virus, PVSRIPO, has been designated by the FDA as a breakthrough therapy for high-grade gliomas. PVSRIPO is made from a poliovirus, with the internal ribosomal entry site exchanged for

that of the human rhinovirus 1 that abrogates the ability to replicate and damage neuronal cells; the virus is still able to enter and proliferate in glioma cells, but now it leads to an inflammatory reaction against the glioma cells.<sup>37</sup> A phase I trial with PVSRIPO in grade 4 glioma patients showed a median OS of 12.6 months compared with 10.5 months in historic controls; significantly, 23% of patients were alive at 2 years compared with 10% in the control arm.<sup>37</sup> Currently, adenoviruses, HSV, the measles virus, retroviruses, and parvoviruses are under investigation for potential oncolytic viral therapies.<sup>34,38-42</sup>

#### *Innate Immunity*

Generalized activation of the innate immune response through the STING pathway and toll-like receptors (TLRs) may also be used to induce an antitumor immune response. Injecting murine tumors with cyclic diguanylate monophosphate, an agonist of the STING pathway, improved the survival of mice with brain gliomas and showed increased T-cell infiltrates in the tumors.<sup>43</sup> Similarly, systemically injecting mice with melanoma with the dendritic cell growth factor FLT3L, followed by intra-tumoral poly I:C injections and treatment with PD-1 or BRAF inhibition, showed improved responses compared with the PD-1 or BRAF inhibitors alone.<sup>44,45</sup> Combining dendritic cell-activating agents with checkpoint inhibitors may expand and prolong responses to patients who do not respond to PD-1 or CTLA-4 inhibitors alone.

TLRs bind antigens and increase cytokine secretion that induce an immune response through activated Langerhans cells, macrophages, and lymphocytes; in T cells, TLRs stimulate proliferation via the protein kinase B pathway, as shown in Figure 1. TLR agonists have been shown to inhibit tumor growth in preclinical murine models by countering immune suppression in the tumor microenvironment.<sup>46</sup> Imiquimod, the topical TLR agonist, is FDA-approved for cutaneous basal cell carcinoma, condylomata acuminata, and actinic keratosis. Clinical trials with imiquimod for other cutaneous applications, such as breast cancer with skin involvement, have been promising. In a phase II trial of 14 breast cancer patients, 5 had a CR and the ORR was 72%.<sup>47</sup> In another trial, remiquimod, the topical TLR 7/8 agonist, was studied as an agonist with a cancer testis antigen vaccine for melanoma patients; the results of 20 patients showed no significant difference in CD8+ T-cell responses with remiquimod.<sup>48</sup> Further studies using TLR agonists as adjuvants for tumor vaccines are also being conducted (Table 1).<sup>47-50</sup>

#### *Inhibiting Immune Suppression*

Tumors have mechanisms of suppressing immune responses in their microenvironment through checkpoint pathways, such as PD-1, and by means of suppressive cells, such as Tregs and MDSCs. Inhibiting the appropriate suppressive signals can improve immune surveillance and mount an antitumor T-cell response.

#### *Immunosuppressive Cells*

Tregs are among the strongest suppressors of the immune response within a tumor microenvironment, and their inhibition has been an active area of research. Researchers have been investigating the targeting of Treg-activating molecules on their surface through antibodies and vaccines. Checkpoint antibodies, LAG-3 and TIM-3, which are promising therapeutic targets, are discussed below. A phase I/II clinical trial of a dendritic cell vaccine with daclizumab, the anti-CD25 monoclonal antibody, showed patients who received daclizumab had significantly reduced Tregs; however, there was no improvement in PFS with daclizumab, perhaps because the Treg-depleted patients demonstrated fewer vaccine-specific effector T cells.<sup>51</sup> Tyrosine kinase inhibitors already in clinical use, specifically sorafenib, sunitinib and imatinib, have demonstrated a decrease in Tregs, though their clinical efficacy against various malignancies varies.<sup>52-54</sup> Thus, the proper use of Treg inhibition to obtain clinical benefit remains to be developed.

In addition to Tregs, infiltrative MDSCs act to further subdue the antitumor immune response. Certain chemotherapeutic agents, such as fluorouracil and gemcitabine, have been effective in killing MDSCs—although with low precision and significant toxicities—which may contribute to their responses in various solid tumors.<sup>55</sup> Recently, results of a phase I trial demonstrated that an agonist antibody targeting the TRAIL R2 receptor effectively decreased MDSCs in 8 of 16 patients with advanced cancers, although with limited durability.<sup>56</sup> Studies are underway exploring the value of combining checkpoint inhibitors and Treg and MDSC antibodies.

#### *Inhibitory Checkpoints*

Targeted inhibition of checkpoint molecules expressed on Tregs and CD8+ effector T cells in the tumor microenvironment—such as CTLA-4, PD-1, LAG-3, TIM-3, TIGIT, and BTLA—is one strategy that, in the case of CTLA-4 and PD-1, has thus far been successful. Further, LAG-3 has been found to play a particular role in antitumor responses in melanoma and ovarian cancer.<sup>57</sup> In murine studies, inhibition of either TIM-3 or LAG-3, along with PD-1, led to prolonged immune responses and decreased tumor-specific Tregs in melanoma tumors, particularly in relapsed tumors.<sup>57,58</sup> Novel PD-1 and CTLA-4 inhibitors are also being developed for a variety of cancers; there are 343 open trials on clinicaltrials.gov testing PD-1 inhibition in cancer. Various combinations of checkpoint-targeting agents are also being investigated in pre-clinical and clinical trials (Table 2).<sup>59-71</sup>

Another immunosuppressive molecule that has been under investigation for therapeutic intervention is indoleamine 2,3-dioxygenase 1 (IDO1), an enzyme produced in macrophages within the tumor microenvironment that acts on tryptophan metabolism. IDO1 decreases proliferation of T cells and increases neovascularization by countering interferon gamma.<sup>72</sup> In a phase I clinical trial of 52 patients with metastatic solid tumors treated with epacadostat, an IDO1 inhibitor, 7 patients had stable disease, but no objective

**TABLE 1.** Recent and Ongoing Clinical Trials Activating Anti-Tumor Immunity.

Tumor	Agent	Study Type	Outcome	Author
<b>4-1BB (CD137)</b>				
Head and neck	Urelumab + Cetuximab	Phase I	Increased NK cells, DC maturation	Srivastava et al <sup>15</sup>
Various	Urelumab + Nivolumab	Phase I/II	Melanoma subset: ORR, 50%; disease control, 70%	Massarelli et al <sup>16</sup>
Various	Various	Various	Various	Various
Various carcinomas	PF-04518600 (OX40 agonist) + PF-05082566 (4-1BB agonist)	Phase I	Pending	Pfizer <sup>26</sup>
<b>OX40 (CD134)</b>				
Solid tumors	9B12	Phase I	0% response; 18 of 30 patients had stable disease	Curti et al <sup>17</sup>
Solid tumors	MEDI6383	Phase I	Pending	Bauer et al <sup>27</sup>
Solid tumors/B-cell lymphoma	MEDI6469	Phase Ib/II	Pending	Powderly et al <sup>28</sup>
Head and neck	MEDI6469	Phase I	Pending	Bell et al <sup>29</sup>
<b>Vaccine Trials</b>				
Prostate cancer	Sipuleucel-T	Phase III	OS: 25.8 months with sipuleucel-T vs 21.7 months with placebo	Kantoff et al <sup>22</sup>
Melanoma	Gp100 peptide-pulsed DC vaccine	Phase I	CR in 2 of 27 patients	Lesterhuis et al <sup>23</sup>
Ductal carcinoma in situ	HER2-pulsed DC vaccine	Phase I/II	Pending	Lowenfeld et al <sup>21</sup>
Glioblastoma	Heat shock protein peptide complex-96	Phase II	OS: 42.6 weeks in single-arm study	Bloch et al <sup>30</sup>
Breast cancer	Ad/HER2/Neu vaccine	Phase I	Pending	Wood et al <sup>31</sup>
Pancreatic cancer	DC vaccine + chemotherapy	Phase I	Pending	Becerra et al <sup>32</sup>
<b>Oncolytic Virus Therapy</b>				
Melanoma	T-VEC	Phase III	ORR, 40%; 27 of 249 patients had CR	Harrington et al <sup>33</sup>
Pancreatic cancer	LOAd703	Phase I/II	Pending	Loskog et al <sup>34</sup>
Solid tumors	GL-ONC1 + eculizumab	Phase I	Pending	Kelly et al <sup>35</sup>
Solid tumors	Pexa-Vec + ipilimumab	Phase I	Pending	Cassier et al <sup>36</sup>
Ovarian cancer	Enadenotucirev	Phase I/II	Pending	PsiOxus Therapeutics <sup>37</sup>
Hepatocellular carcinoma	Pexa-Vec + sorafenib	Phase III	Pending	Burke et al <sup>38</sup>

**TABLE 1 continued.** Recent and Ongoing Clinical Trials Activating Antitumor Immunity.

Tumor	Agent	Study Type	Outcome	Author
<b>TOLL-LIKE RECEPTORS</b>				
Breast Cancer	Imiquimod + Nab-paclitaxel	Phase II	ORR, 72%; 5 patients with CR	Salazar et al <sup>39</sup>
Melanoma	Remiquimod + NY-ESO-1 vaccine	Phase I	No difference in CD8+ T cells with remiquimod	Sabado et al <sup>40</sup>
Glioma	Imiquimod + tumor lysate vaccine	Various	Various	Various
Various carcinomas	PF-04518600 (OX40 agonist) + PF-05082566 (4-1BB agonist)	Phase I	Pending	Leiberman et al <sup>41</sup>
Breast cancer	Imiquimod + cyclophosphamide + radiation	Phase I/II	Pending	Adams et al <sup>42</sup>

CR indicates complete response; ORR, overall response rate; OS, overall survival, Pexa-Vec, pexastimogene devacirepvec; SD, stable disease.

responses were seen.<sup>72</sup> Currently, trials of combinations of IDO1 inhibitors with other immunomodulatory agents are ongoing, including a phase III trial in melanoma with the PD-1 inhibitor pembrolizumab.<sup>73</sup>

*Integrins and Associated Proteins*

Integrin proteins are yet another growing therapeutic area of interest in oncology. Integrins are transmembrane proteins expressed on most cells that facilitate communication between cells and their extracellular environment and control proliferation, survival, migration, and adhesion of cells. In cancer, the unique microenvironment surrounding the tumor, often hypoxic and deprived of nutrients compared with normal tissues, leads to increased expression of specific integrins that benefit the tumor.<sup>74</sup> In addition to improving tumor survival and proliferation, certain integrins suppress immune cells in the tumor microenvironment. For example, TGF-β in the tumor microenvironment leads to increased expression of the integrin alpha E beta 7 (αEβ7) on Tregs, which promotes their suppressive function and localization.<sup>75</sup> Interestingly, αEβ7 is also expressed on a variety of tumor-infiltrating lymphocytes, and pre-clinical studies have shown that when E-cadherin interacts with αEβ7, cytotoxic T cells are able to deliver toxic granules to cancer cells, which leads to apoptosis.

Loss of membrane E-cadherin has been associated with cancer spread and a poor prognosis in a variety of cancers, and re-instating E-cadherin in a tumor microenvironment may lead to increased immune targeting of the tumor.<sup>76</sup> Other integrins, such as α4β1 and integrin β3, play a role in recruitment and adhesion of leukocytes to tumors; integrin αvβ6 increases TGF-β, which suppresses T-cell

activation and increases tumor proliferation; and integrins alpha v beta 3 (αvβ3) and αvβ5 (αvβ5) are associated with angiogenesis.

Currently, several monoclonal antibodies targeting integrins are in clinical trials.<sup>77</sup> Etaracizumab, a monoclonal antibody against integrin αvβ3 has shown efficacy in a phase I trial in a variety of tumors, although a subsequent phase II trial showed no improvement in PFS or OS with etaracizumab.<sup>78</sup> Cilengitide, an inhibitor of αvβ3 and αvβ5, showed efficacy and tolerability in phase I and phase II clinical trials in high-grade gliomas, with 69% having PFS at 6 months<sup>79,80</sup>; a phase III trial of cilengitide in patients with O[6]-methylguanine-DNA methyltransferase (MGMT) promoter methylated glioblastomas did not show an improvement in outcomes, however.<sup>81</sup> Understanding the role of integrins and altering their expression to decrease immune suppression is an active area in immunotherapy research.

In addition to integrins, other molecules involved in cellular signaling that suppress immune function in the tumor microenvironment are being investigated as therapeutic targets. CD47, also known as integrin-associated protein, is a cell-surface membrane receptor protein found on many leukocytes. CD47 binds with beta-3 integrin, thrombospondin-1, signal regulatory protein-alpha (SIRP-α), and other signaling proteins to regulate T-cell activation, cell migration, phagocytosis, and other immune cell functions. Specifically, CD47 bound to SIRP-α creates an inhibitory signal for phagocytosis often employed in tumor microenvironments. CD47 is expressed in many tumors and, interestingly, in cancer stem cells; it is thought expression of CD47 allows cancer stem cells to survive without being targeted by the immune system and that this leads to late-cancer recurrences.<sup>82</sup> Targeting CD47 with monoclonal

**TABLE 2.** Recent and Ongoing Clinical Trials Inhibiting Cancer-Mediated Immunosuppression.

Target/Tumor	Agents	Study Type	Outcome	Author
<b>CTLA-4</b>				
Colon cancer	Tremelimumab + MEDI4736 + FOLFOX + Bevacizumab	Phase I	Pending	Overman et al <sup>59</sup>
Solid tumors	AGEN-1884	Phase I	Pending	Agenus Inc <sup>60</sup>
Hepatocellular carcinoma	Tremelimumab	Phase I	Various	Greten et al <sup>61</sup>
Melanoma	Ipilimumab + dabrafenib + trametinib + nivolumab	Phase I	Pending	Ott et al <sup>62</sup>
Breast cancer	Tremelimumab + MEDI4736	Phase II	Pending	Santa-Maria et al <sup>63</sup>
<b>PD-1/PD-L1</b>				
Endometrial carcinoma	Durvalumab + tremelimumab	Phase II	Pending	Bauer et al <sup>27</sup>
Lung cancer	Atezolizumab	Phase II	Pending	Genentech, Inc <sup>65</sup>
Ovarian	Atezolizumab	Phase III	Pending	Kurtz et al <sup>66</sup>
Multiple myeloma	Pembrolizumab	Phase II	Pending	PETHEMA Foundation <sup>67</sup>
<b>IDO1</b>				
Solid tumors	Epacadostat	Phase I	Stable disease in 7 of 52 patients	Beatty et al <sup>68</sup>
Melanoma	Epacadostat + pembrolizumab	Phase III	Pending	Jones et al <sup>69</sup>
<b>LAG-3</b>				
Glioblastoma	BMS-986016 + urelumab + nivolumab	Phase I	Pending	Grossman et al <sup>70</sup>
Hematologic Malignancy	BMS-986016 + nivolumab	Phase I	Pending	Bristol-Myers Squibb <sup>71</sup>
<b>TIM-3</b>				
Solid tumors	TSR-022	Phase I	Pending	Tesaro <sup>72</sup>
Various	MBG453	Phase I/III	Pending	Novartis <sup>73</sup>
<b>Integrins and Associated Proteins</b>				
Acute myelogenous leukemia	Hu5F9-G4 (CD47 antibody)	Phase I	Pending	Vyas et al <sup>74</sup>
Hematologic malignancy	CC-90002 (CD47 antibody)	Phase I/II	Pending	Burgess et al <sup>75</sup>
Hematologic malignancy	TTI-621 (SIRPαFC)	Phase I	Pending	Sievers et al <sup>76</sup>
Solid tumors	Hu5F9-G4	Phase I	Pending	Takimoto et al <sup>77</sup>
Colorectal cancer solid tumors	Hu5F9-G4 + cetuximab	Phase I/III	Pending	Takimoto et al <sup>78</sup>

FOLFOX indicates leucovorin, fluorouracil, oxaliplatin.

antibodies in murine models has shown to effectively treat acute lymphocytic leukemia (ALL), acute myeloid leukemia (AML), and leiomyosarcoma. Currently, multiple phase 1 trials are investigating CD47 inhibition in human patients with ALL, AML, and several solid tumors as described in **Table 2**.<sup>83-88</sup>

### Adoptive T-cell Therapy

Another direction of immunotherapy is adoptive T-cell therapy, in which autologous T cells are harvested, engineered to recognize tumor antigens, and returned to the patient to specifically target the tumor. Chimeric antigen receptor (CAR) T cells have been developed to hold a membrane receptor that binds to a specific tumor antigen and contains an intracellular component that activates the T cell in the presence of the antigen.<sup>89</sup> More recently, CAR-T cells have included a costimulatory signal, such as CD28 or CD137, that maintains activated T cells and may lead to a sustained immune response.<sup>90</sup>

CAR-T cells have also been engineered to express multiple CARs that recognize several tumor antigens and stimulate production of cytokines and interleukins when bound to their antigen. CAR-T cells are also being developed to alter pathways used by the tumor to suppress the immune system, such as PD-1. CARs targeting PD-1 have been introduced into T cells, with a mechanism that leads to T-cell activation signaling upon binding PD-1, with the goal of reversing immune suppression in the tumor.

Clinical trials are underway for many cancer types and antigens. The most success to date has been seen with hematologic malignancies, with several durable complete remissions seen in ALL, chronic lymphocytic leukemia, and lymphomas. In solid tumors, localization of T cells to the tumor has been challenging; however, trials targeting solid tumor antigens, such as CEA, ERBB2, VEGFR2, and a variety of others are being studied.<sup>90</sup> A search on [clinicaltrials.gov](http://clinicaltrials.gov) yielded over 160 open clinical trials with CAR-T cells.

### Discussion and Conclusions

A greater understanding of the complex interactions between tumors and the immune system has led to a vast array of potential new therapeutic targets. Although many new agents show efficacy in vitro that demonstrate their desired function, turning these in vitro successes into clinical benefits has been challenging. Different tumors, even with the same underlying histology, show a wide range of heterogeneity in how they suppress the immune system, with tumors variably expressing PD-1 or having different quantities of infiltrating lymphocytes.<sup>91,93</sup>

Taking advantage of a tumor's unique immune-altering mechanisms could allow for tailored immunotherapy for specific tumors. For example, metastatic melanoma tumors have been associated with strong inhibition of the STING pathway, leading to a decreased immune response to cytosolic DNA, as would be present in a viral infection, and frequently express PD-1 and CTLA-4 on cells in its microenvironment. For these reasons, melanoma is very

susceptible to T-VEC, as well as CTLA-4 and PD-1 inhibitors.<sup>94</sup>

Challenges in diagnostic testing have also complicated the tailored approach to immunotherapy in cancer. Markers of immune suppression that would be helpful for therapeutic implications, such as PD-1, are heterogeneously expressed throughout a tumor and change dynamically in response to stimuli.<sup>94,95</sup> Thus, more research and trials need to take place to better understand the factors that lead to expression of dynamic markers and to develop accurate diagnostic tests for clinical use.

Discovering the appropriate combination of drugs to use against a specific tumor that affect the pathways and cells most active in that tumor represent the challenges of using these new technologies. As our understanding of the tumor microenvironment continues to grow and we develop more accurate and clinically useful assays to describe the state of the tumor microenvironment, perhaps these new therapies will be tools used to individualized treatment regimens to reach meaningful clinical outcomes.

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